

KYLE RAY BOWEN

CRD#: 4260651

 Broker Regulated by **FINRA**

Investment Adviser

TRUIST INVESTMENT SERVICES, INC.

CRD#: 17499

100 CRESCENT CT

FL 10

DALLAS, TX 75201

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Disclosures



20 Years of Experience

7 Firms



3

Exams Passed



43

State Licenses



Examination(s)

State Securities Law Exam

Series 66 - Uniform Combined State Law Examination

Aug 4, 2004

General Industry/Products Exam

SIE - Securities Industry Essentials Examination

Oct 1, 2018

Series 7 - General Securities Representative Examination

Feb 12, 2003

Additional information including this individual's professional designations is available in the Detailed Report.



License(s)

State Registrations

Alabama

Missouri

Arizona

Montana

Arkansas

Nevada

California

New Hampshire

Colorado

New Jersey

Colorado

New Mexico

Connecticut

New York

District of Columbia

North Carolina

SRO Registrations

FINRA

Florida	North Dakota
<input type="radio"/> B Georgia	<input type="radio"/> B Ohio
<input type="radio"/> B Hawaii	<input type="radio"/> B Oklahoma
<input type="radio"/> B Idaho	<input checked="" type="radio"/> IA Oklahoma
<input type="radio"/> B Illinois	<input type="radio"/> B Oregon
<input type="radio"/> B Indiana	<input type="radio"/> B Pennsylvania
<input type="radio"/> B Iowa	<input type="radio"/> B South Carolina
<input type="radio"/> B Kansas	<input type="radio"/> B Texas
<input type="radio"/> B Louisiana	<input checked="" type="radio"/> IA Texas
<input type="radio"/> B Maryland	<input type="radio"/> B Virginia
<input type="radio"/> B Massachusetts	<input type="radio"/> B Washington
<input type="radio"/> B Michigan	<input type="radio"/> B Wisconsin
<input type="radio"/> B Minnesota	<input type="radio"/> B Wyoming
<input type="radio"/> B Mississippi	

Not all jurisdictions require IAR registration or may have an exemption from registration.



Current Registration(s)

☐ B **TRUIST INVESTMENT SERVICES, INC. (CRD#:17499)**

📍 100 CRESCENT CT FL 10, DALLAS, TX 75201

Registered with this firm since 9/26/2023

☐ B **BOK FINANCIAL SECURITIES, INC. (CRD#:17530)**

📍 5956 Sherry Lane 9th & 11th Floors, Dallas, TX 75225

Registered with this firm since 4/19/2011

☒ IA **TRUIST ADVISORY SERVICES, INC. (CRD#:283390)**

📍 100 CRESCENT CT FL 10, DALLAS, TX 75201

Registered with this firm since 9/26/2023

☒ IA **BOK FINANCIAL PRIVATE WEALTH, INC. (CRD#:106733)**

📍 5956 SHERRY LANE 9TH FLOOR, DALLAS, TX 75225

Registered with this firm since 8/15/2019

☒ IA **BOK FINANCIAL ADVISORS (CRD#:17530)**

📍 5956 Sherry Lane 9th & 11th Floors, Dallas, TX 75225

Registered with this firm since 4/30/2011



Previous Registration(s)

		Name	Location
IA	01/13/2006 - 04/18/2011	NEWBRIDGE FINANCIAL SERVICES GROUP, INC. (CRD#:130814)	BOCA RATON, FL
B	01/09/2006 - 04/18/2011	NEWBRIDGE SECURITIES CORPORATION (CRD#:104065)	BOCA RATON, FL
IA	08/10/2004 - 01/05/2006	WACHOVIA SECURITIES, LLC (CRD#:19616)	DELRAY BEACH, FL
B	02/14/2003 - 01/05/2006	WACHOVIA SECURITIES, LLC (CRD#:19616)	DELRAY BEACH, FL

Additional Information

The content of this summary, and the available detailed report, is governed by FINRA Rule 8312, and is primarily based on information filed on [uniform registration forms](#). Rule 8312, amendments to the rule and notices related to U.S. Securities and Exchange Commission approval orders, can be viewed [here](#).

State regulators are governed by their public records laws (not FINRA Rule 8312), and may provide information not in BrokerCheck, including information no longer required to be reported or updated on uniform registration forms due, for example, to its age or final disposition. You may [contact your state regulator](#) to request this additional information.

Click [here](#) for more information about how to check on an investment professional.



Broker

A brokerage firm, also called a broker-dealer, is in the business of buying and selling securities – stocks, bonds, mutual funds, and certain other investment products – on behalf of its customer (as broker), for its own bank (dealer), or both. Individuals who work for broker-dealers - the sales personnel are commonly referred to as brokers.



Investment Adviser

An investment adviser is paid for providing advice about securities to clients. In addition, some investment advisers manage investment portfolios and offer financial planning services.

It is common for a financial professional to act as both a broker and an investment adviser. Because of this, we include investment advisers on BrokerCheck, and provide links to the [SEC's Investment Adviser Public Disclosure \(IAPD\) website](#) so you can research further.



Previously Registered

A Previously Registered broker or brokerage firm is not currently licensed to act as a broker (buying and selling securities on behalf of customers) or as an investment adviser (providing advice about securities to clients). They may still be able to offer other investment-related services if properly licensed to do so. Click [here](#) to learn more.



Disclosures

Disclosures can be customer complaints or arbitrations, regulatory actions, employment terminations, bankruptcy filings and certain civil or criminal proceedings that they were a part of.

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